(Rev. January 2020)

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

AF	or the	2019 calendar year, or tax year beginning 0.0101 , 2.019 and 0.010	enaing M	AY 31, 2020	
B C	heck if oplicable:	C Name of organization		D Employer identific	cation number
	Address change	DRUG POLICY ACTION			
	Name change	Doing business as		52-19511	97
	Initial return	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	E Telephone number	
	Final return/	131 WEST 33RD STREET, 15TH FLOOR		(212)613	
	termin- ated	City or town, state or province, country, and ZIP or foreign postal code		G Gross receipts \$	2,712,321.
	Amende return	NEW TORK, NT 10001		H(a) Is this a group re	eturn
	Applica- tion	F Name and address of principal officer: KASSANDRA FREDERIQU	JΕ	for subordinates	? Yes X No
	pending	SAME AS C ABOVE		H(b) Are all subordinates in	cluded? Yes No
ΙT	ax-exer	npt status: \square 501(c)(3) $\boxed{\mathbf{X}}$ 501(c) ($\boxed{4}$) \blacktriangleleft (insert no.) \square 4947(a)(1) c	or 527	If "No," attach a	list. (see instructions)
J۷	Vebsite	: ► WWW.DRUGPOLICYACTION.ORG		H(c) Group exemption	n number 🕨
K F	orm of o	rganization: X Corporation Trust Association Other	L Year	of formation: 1995 N	1 State of legal domicile: DC
		Summary		<u>.</u>	
	1 B	riefly describe the organization's mission or most significant activities: DRUG	POLIC	Y ACTION UNI	DERTAKES A
Governance		VIDE RANGE OF ACTIVITIES INCLUDING ISSUE			
nar	_	check this box if the organization discontinued its operations or dispos			ets.
ve	3 N	lumber of voting members of the governing body (Part VI, line 1a)		3	5
ၓ	4 N	lumber of independent voting members of the governing body (Part VI, line 1b)			5
S		otal number of individuals employed in calendar year 2019 (Part V, line 2a)			0
itie		otal number of volunteers (estimate if necessary)			6
Activities &		otal unrelated business revenue from Part VIII, column (C), line 12			0.
ď		let unrelated business taxable income from Form 990-T, line 39			0.
		,		Prior Year	Current Year
•	8 C	contributions and grants (Part VIII, line 1h)		1,776,050.	2,570,629.
nue		rogram service revenue (Part VIII, line 2g)		0.	0.
Revenue		evestment income (Part VIII, column (A), lines 3, 4, and 7d)		155,317.	141,692.
Ä		other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		0.	0.
		otal revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		1,931,367.	2,712,321.
		irants and similar amounts paid (Part IX, column (A), lines 1-3)		6,516,500.	12,039,562.
		enefits paid to or for members (Part IX, column (A), line 4)		0.	0.
G	15 S	alaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		0.	0.
Expenses	16a P	rofessional fundraising fees (Part IX, column (A), line 11e)		0.	0.
per	b T	otal fundraising expenses (Part IX, column (D), line 25)			
Ĕ	17 C	other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		462,774.	559,122.
		otal expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		6,979,274.	12,598,684.
		levenue less expenses. Subtract line 18 from line 12		-5,047,907.	-9,886,363.
or		•		ginning of Current Year	End of Year
Net Assets or Fund Balances	20 T	otal assets (Part X, line 16)		23,259,228.	14,019,338.
Ass ABa	21 T	otal liabilities (Part X, line 26)		17,472.	531,987.
Net	22 N	let assets or fund balances. Subtract line 21 from line 20		23,241,756.	13,487,351.
Pa	rt II	Signature Block	•		
Unde	er penalt	ies of perjury, I declare that I have examined this return, including accompanying schedules	and stateme	ents, and to the best of my	knowledge and belief, it is
true,	correct,	and complete. Declaration of preparer (other than officer) is based on all information of wh	ich preparer	has any knowledge.	
Sigr	,	Signature of officer		Date	
Her		KASSANDRA FREDERIQUE, EXECUTIVE DIRECT	OR		
		Type or print name and title			
		Print/Type preparer's name Preparer's signature	[Date Check	PTIN
Paid		GARRETT M. HIGGINS GARRETT M. HIGGI	ns 0	4/15/21 if self-employs	P00543209
Prep		Firm's name ▶ PKF O'CONNOR DAVIES, LLP	<u> </u>		27-1728945
Use		Firm's address 500 MAMARONECK AVENUE			
		HARRISON, NY 10528-1633		Phone no.91	4-381-8900
May	the IRS	S discuss this return with the preparer shown above? (see instructions)			X Yes No

IRS e-file Signature Authorization for an Exempt Organization

calendar year 2019, or fiscal year beginning UUN L , 2019, and ending MAL JL	calendar year 2019, or fiscal year beginning	JUN	1	, 2019, and ending	MAY	31	, 20	2
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OMB No. 1545-1878

Department of the Treasury	_	Do not send to the IRS. I			
nternal Revenue Service Name of exempt organization		Go to www.irs.gov/Form8879E	O for the latest information.	Employer	identification number
, ,				' '	
DRUG POLICY AC	CTION			52-1	951197
Name and title of officer					
KASSANDRA FREI					
EXECUTIVE DIRE					
		urn Information (Whole Do	**		
on line 1a, 2a, 3a, 4a, or 5 a	a, below, and the amank (do not enter -0-)	nount on that line for the return b b. But, if you entered -0- on the re	ter the applicable amount, if any, fro eing filed with this form was blank, t turn, then enter -0- on the applicable	then leave li e line below	ine 1b, 2b, 3b, 4b, or 5b, Do not complete more
1a Form 990 check here	▶X b Tot	t al revenue, if any (Form 990, Pa	art VIII, column (A), line 12)	1b	<u>2,712,321.</u>
2a Form 990-EZ check he			0-EZ, line 9)		
3a Form 1120-POL check	·——		line 22)		
4a Form 990-PF check he			me (Form 990-PF, Part VI, line 5)	-	
5a Form 8868 check here	▶	ance Due (Form 8868, line 3c)		5b	
Part II Declarati	on and Signatu	re Authorization of Office	er		
(a) an acknowledgement of the date of any refund. If an debit) entry to the financial return, and the financial ins 1-888-353-4537 no later tha processing of the electronic payment. I have selected a organization's consent to e	receipt or reason for policable, I authorize institution account it titution to debit the an 2 business days per payment of taxes to personal identificatilectronic funds with	or rejection of the transmission, (e the U.S. Treasury and its design indicated in the tax preparation sentry to this account. To revoke prior to the payment (settlement) to receive confidential information number (PIN) as my signature.	o send the organization's return to to to the reason for any delay in processated Financial Agent to initiate an esoftware for payment of the organiza a payment, I must contact the U.S. date. I also authorize the financial in necessary to answer inquiries and a for the organization's electronic reference.	essing the re electronic fu tion's feder Treasury Fin nstitutions in resolve iss	eturn or refund, and (c) nds withdrawal (direct ral taxes owed on this nancial Agent at nvolved in the ues related to the
Officer's PIN: check one I	oox only				
X I authorize PK	F O'CONNOR	DAVIES, LLP		to enter my	
		ERO firm name			Enter five numbers, b do not enter all zeros
is being filed with enter my PIN on As an officer of the indicated within	n a state agency(ies) the return's disclosune organization, I wil his return that a cop	regulating charities as part of the ure consent screen. Il enter my PIN as my signature c	d return. If I have indicated within the IRS Fed/State program, I also aution the organization's tax year 2019 on a state agency(ies) regulating charin. Date 4/15/2	norize the a electronicall ties as part	forementioned ERO to y filed return. If I have
omoor o orginataro	/	4)			
Part III Certificat	tion and Auther	ntication			
ERO's EFIN/PIN. Enter yo number (EFIN) followed by	-		26242303218 Do not enter all zeros		
-	g this return in acco		019 electronically filed return for the Pub. 4163, Modernized e-File (MeF	-	
ERO's signature ▶ PKF (CONNOR D	AVIES, LLP	Date ▶ _ 04 /	14/21	
		RO Must Retain This Forbmit This Form to the IRS	m - See Instructions S Unless Requested To Do	So	

Form **8879-EO** (2019)

923051 10-03-19

LHA For Paperwork Reduction Act Notice, see instructions.

Form **8868**

(Rev. January 2020)

Department of the Treasury Internal Revenue Service

Application for Automatic Extension of Time To File an Exempt Organization Return

File a separate application for each return.

► Go to www.irs.gov/Form8868 for the latest information.

OMB No. 1545-0047

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

Auton	natic 6-Month Extension of Time. Only subm	nit origina	al (no copies needed).					
All corpo	prations required to file an income tax return other than Fo	orm 990-T	(including 1120-C filers), partnerships	s, REMICs	s, and trusts			
must us	e Form 7004 to request an extension of time to file income	e tax retur	ns.					
Type or	Name of exempt organization or other filer, see instru-	ctions.		Taxpayer	ridentification numb	per (TIN)		
print								
File by the	DRUG POLICY ACTION				52-195119	7		
due date for filing your return. See	131 WEST 33RD STREET, 15TH							
instructions. City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10001								
Enter th	e Return Code for the return that this application is for (file	e a separat	te application for each return)			. 0 1		
Applica	tion	Return	Application			Return		
Is For		Code	Is For			Code		
	0 or Form 990-EZ	01	Form 990-T (corporation)			07		
Form 99		02	Form 1041-A			08		
	20 (individual)	03	Form 4720 (other than individual)			09		
Form 99		04	Form 5227			10		
	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11		
Form 990-T (trust other than above) 06 Form 8870 12 KIMBERLY THOMAS C/O DRUG POLICY ALLIANCE								
Telep	cooks are in the care of ► 131 WEST 33RD Solution No. ► (212)613-8040 organization does not have an office or place of business is for a Group Return, enter the organization's four digit (1). If it is for part of the group, check this box	in the Uni	Fax No. ▶ 212-613-804 ited States, check this box	11 f this is fo	r the whole group, c	check this		
th	the organization named above. The extension is for the organization's return for: calendar year or X tax year beginning JUN 1, 2019 , and ending MAY 31, 2020 .							
<u>ar</u>	this application is for Forms 990-BL, 990-PF, 990-T, 4720, sy nonrefundable credits. See instructions.			3a	\$	0.		
	this application is for Forms 990-PF, 990-T, 4720, or 6069	,				^		
	timated tax payments made. Include any prior year overp			3b	\$	0.		
	alance due. Subtract line 3b from line 3a. Include your pa	•	, , ,			0		
	sing EFTPS (Electronic Federal Tax Payment System). See			3c	\$ = 0070 FO for	0.		
instructi	: If you are going to make an electronic funds withdrawal ons.	(airect del	oil) with this form 8868, see form 84	ເວິ∃EU an	a Form 88/9-EU for	payment		

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2020)

	990 (2019) DRUG POLICY ACTION	52-1951197 P	age 2
Par	t III Statement of Program Service Accomplishments		
	Check if Schedule O contains a response or note to any line in this Part III		X
1	Briefly describe the organization's mission:	TON TARIO ON	
	TO ADVOCATE FOR REFORM OF FEDERAL, STATE, LOCAL AND FORE		
	DRUG POLICY, EDUCATING AMERICANS AT THE GRASSROOTS LEVEL		
	POLICY AND INVOLVING THEM IN EFFORTS TO ENSURE THAT GOVE POLICIES ON THESE ISSUES EFFECTIVELY ADVANCE THE PUBLIC		
		INIEKESI.	
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?	Yes X	₹ N.
	prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O.	1e5 [23	<u> </u>
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?	Yes X	₹ No
Ū	If "Yes," describe these changes on Schedule O.		
4	Describe the organization's program service accomplishments for each of its three largest program services, as	measured by expenses.	
	Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to other		
	revenue, if any, for each program service reported.		
4a	(Code:) (Expenses \$10 , 704 , 662 . including grants of \$10 , 454 , 562 .) (Reven	ue \$	
	GENERAL ADVOCACY:		
	DRUG POLICY ACTION WORKS TO END THE WAR ON DRUGS. WE SEE		<u>:</u>
	THE SYSTEM OF MASS CRIMINALIZATION THAT UNDERGIRDS THE D		
	BUILD AN ALTERNATIVE APPROACH TO DRUGS GROUNDED IN SCIEN		
	HEALTH, AND HUMAN RIGHTS. WE ADVOCATE FOR LAW AND POLICY		<u>i </u>
	LOCAL, STATE, AND FEDERAL LEVELS, INCLUDING THROUGH LEGI	SLATIVE AND	
	BALLOT MEASURE ADVOCACY.		
	THE GOALS OF DRUG POLICY ACTION ARE THREEFOLD. FIRST, WE	SEEK TO DITT A	N
	END TO PUNISHING PEOPLE FOR DRUG USE AND POSSESSION BY R		
	CRIMINAL PENALTIES AND DECARCERATING PRISONS AND JAILS A		
4b	(Code:) (Expenses \$1,702,510 . including grants of \$1,585,000 .) (Reven		
	OREGON, MEASURE 110 (BALLOT INITIATIVE)		
	THIS PAST YEAR, DRUG POLICY ACTION'S TOP PRIORITY CAMPAI		T
	DECRIMINALIZING POSSESSION OF ALL DRUGS FOR PERSONAL USE		
	WHILE EXPANDING THE STATE'S DRUG TREATMENT AND HEALTH SE	RVICE	
	INFRASTRUCTURE.		
	THE OPTIVISION OF DEPOSITE PRINCIPLE TO THE HOUSE	011 011 1.111 GII MII	
	THE CRIMINALIZATION OF PERSONAL DRUG USE IS THE FOUNDATI		·Ε
	U.S. DRUG WAR STANDS. SIMPLE DRUG POSSESSION IS BY FAR TARRESTED OFFENSE IN THE COUNTRY, WITH 1.42 MILLION ARREST		
	ALONE, COMPARED TO 231,000 ARRESTS FOR SALES, TRAFFICKIN		
	MANUFACTURING. AN ARREST, EVEN IF IT DOESN'T RESULT IN A		
40	(Code:) (Expenses \$141,023 . including grants of \$) (Reven		
70	LOBBYING ACTIVITIES:	де ф	
	IN CONNECTION WITH ITS PRINCIPLE PURPOSE OF PROMOTING SO	CIAL WELFARE	
	THROUGH INFLUENCING POLICY AND LEGISLATION, DRUG POLICY		;
	FEDERAL, STATE AND LOCAL LEGISLATIVE LEADERS IN AN ATTEM		
	THEM TO SPONSOR, PROMOTE AND/OR SUPPORT POLICIES AND LEG	ISLATION THAT	
	IMPROVE LAWS RELATED TO DRUGS AND DRUG USE.		

Other program services (Describe on Schedule O.)

Total program service expenses

13550415 756359 1621952.000

including grants of \$ 12,548,195.

Form **990** (2019)

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1		X
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3	Х	
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4		
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If</i> "Yes," <i>complete</i>			
	Schedule D, Part III	8		Х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		х
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments			
	or in quasi endowments? If "Yes," complete Schedule D, Part V	10		x
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D.			
_	Part VI	11a		x
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total			
_	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		x
С	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total			
•	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		x
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in			
_	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		x
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
-	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Х	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a	Х	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
_	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		x
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Х
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х
b				
_	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			
	or more? If "Yes," complete Schedule F, Parts I and IV	14b		х
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any			
	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		х
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			
	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			
	1c and 8a? If "Yes," complete Schedule G, Part II	18		Х
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"			
-	complete Schedule G, Part III	19		х
20a		20a		Х
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Х	

Form 990 (2019) DRUG POLICY ACTION
Part IV Checklist of Required Schedules (continued)

	i (continued)		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on		100	110
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		x
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
214	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No," go to line 25a	24a		x
h	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
·	any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit	244		
204	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		x
h	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and	254		
D	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If</i> "Yes," <i>complete</i>			
	, ,	25b		x
26	Schedule L, Part I Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current	230		
26	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%	1		1
	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		x
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,	20		
27	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? <i>If</i> "Yes," <i>complete Schedule L, Part III</i>	27		x
28		21		
20	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
_	instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If	200		x
L	"Yes," complete Schedule L, Part IV	28a 28b		X
	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	200		
С	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If	00-		x
00	"Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			X
0.4	contributions? If "Yes," complete Schedule M	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			v
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and		х	1
05 -	Part V, line 1	34	X	\vdash
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Α_	\vdash
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity	١,,,		v
200	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		X
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			1
~~	If "Yes," complete Schedule R, Part V, line 2	36		\vdash
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			v
20	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?		y	1
Pai	Note: All Form 990 filers are required to complete Schedule O 't V Statements Regarding Other IRS Filings and Tax Compliance	38	X	
. u				
	Check if Schedule O contains a response or note to any line in this Part V			<u> </u>
_			Yes	No
_	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1a 9	-		
b	Enter the number of Fernie W Zermoldece in line fat. Enter of infect applicable	-		
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c	990	(2010)
uranno.	1 (11-20)-201	-Orm		, , , , , u,

Form 990 (2019) DRUG POLICY ACTION Part V Statements Regarding Other IRS Filings and Tax Compliance (continued) 52-1951197 Page 5

				Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,				
	filed for the calendar year ending with or within the year covered by this return	2a ()		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax return	ns?	2b		
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?		За		X
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule	0	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other a	uthority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial a	ccount)?	4a		X
b	If "Yes," enter the name of the foreign country				
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Advanced in the Financi	counts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction		5b		X
	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the	e organization solicit		3,7	
	any contributions that were not tax deductible as charitable contributions?		6a	X	
b	If "Yes," did the organization include with every solicitation an express statement that such contribution		١	.	
_	were not tax deductible?		6b	X	
7	Organizations that may receive deductible contributions under section 170(c).	dana anno del al santo a como	_		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and ser		7a		
b			7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was		7.		
d	to file Form 8282? If "Yes," indicate the number of Forms 8282 filed during the year	7d	7c		
u e	If "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit or	•	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contra		7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Fo		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization		7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained		7		
			8		
9	Sponsoring organizations maintaining donor advised funds.				
а	Did the agree of the control of the control of the birth of the control of the co		9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		9b		
10	Section 501(c)(7) organizations. Enter:				
а	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	Section 501(c)(12) organizations. Enter:				
а	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against				
	amounts due or received from them.)	11b			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form		12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	-		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.				
а	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note: See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the	426			
_	organization is licensed to issue qualified health plans	13b	-		
	Enter the amount of reserves on hand	13c	144		Х
		- 0	14a	1	A
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedul Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuner		14b		
15			15		X
	excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.		15		-25
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment	income?	16		х
	If "Yes," complete Form 4720, Schedule O.		.0		

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DRUG POLICY ACTION 52-1951197 Form 990 (2019) Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI Section A. Governing Body and Management Yes No 5 **1a** Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O. **b** Enter the number of voting members included on line 1a, above, who are independent Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other X officer, director, trustee, or key employee? 2 Did the organization delegate control over management duties customarily performed by or under the direct supervision 3 Х of officers, directors, trustees, or key employees to a management company or other person? 3 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 4 Did the organization become aware during the year of a significant diversion of the organization's assets? 5 5 Did the organization have members or stockholders? 6 6 Х 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? Х 7a b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? X 7b Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: Х a The governing body? 8a **b** Each committee with authority to act on behalf of the governing body? 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes." provide the names and addresses on Schedule O Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) Yes Nο 10a Did the organization have local chapters, branches, or affiliates? 10a b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? Х 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a b Describe in Schedule O the process, if any, used by the organization to review this Form 990. Х 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 12a Х b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes." describe Х 12c in Schedule O how this was done Did the organization have a written whistleblower policy? Х 13 13 Did the organization have a written document retention and destruction policy? 14 Х 14 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official X 15a Х Other officers or key employees of the organization 15b If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a

Section C. Disclosure

exempt status with respect to such arrangements?

- 17 List the states with which a copy of this Form 990 is required to be filed ▶SEE SCHEDULE O
- 18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 - X Own website X Another's website X Upon request Other (explain on Schedule O)
- 19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20	State the name,	address, ar	nd telephone	number of the	he person wh	o possesses th	ne orga	anization	's books and records		
	KIMBERLY	THOMA	S C/O 1	DRUG PO	OLICY A	LLIANCE	_	(212)	613-8040		
	131 WEST	33RD	STREET	. 15TH	FLOOR,	NEW YO	RK,	NY	10001		_

taxable entity during the year?

b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's

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Х

16a

16h

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

(A) Name and title	(B) Average hours per week	box	not c , unle:	Pos heck ss per	more rson i	than of structures that the structures the structures that the structures the structures that the structures the structures that the structures the structures that the structures the structures that the structures that the structures that the structures the structures that the structures that the structures the structures that the structures the structures that the structures the str	n an	(D) Reportable compensation from	(E) Reportable compensation from related	(F) Estimated amount of other
	(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	compensation from the organization and related organizations
(1) MARIA MCFARLAND EXECUTIVE DIRECTOR UNTIL MARCH 2020	8.00			Х				0.	259,540.	53,039
(2) DEREK (OSCAR) HODEL PRESIDENT	2.00	х		х				0.	6,000.	0
(3) RICHARD BURNS, INTERIM EXECUTIVE DIRECTOR AS OF MARCH 2020	8.00			Х				0.	0.	0
(4) CHRISTINE DOWNTON	2.00	,,								
TREASURER (5) KENNETH MONTEIRO	2.00	Х		Х				0.	0.	0
SECRETARY (6) IRA GLASSER, PRESIDENT UNTIL	2.00	Х		Х				0.	0.	0
OCT 2019/TRUSTEE UNTIL APRIL 2020 (7) DAVID C. LEWIS, MD		Х		Х				0.	0.	0
TRUSTEE	1.00	Х						0.	0.	0
(8) SUSAN KANE TRUSTEE	1.00	Х						0.	0.	0
										Form 990 (20:

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	Section A. Officers, Directors, Trus		Pioy	cc s,			giies			'	$\overline{}$		(- `	
	(A)	(B) (C) Average hours per (do not check more than one box, unless person is both an							(D)	(E)			(F)	
	Name and title								Reportable	Reportable	- 1		imated	
		hours per					is both or/trus		compensation	compensatio	- 1		ount o	f
		week	_	I	I	T	1		from	from related			ther	
		(list any	recto						the	organization		comp		
		hours for	or di	9			ated		organization	(W-2/1099-MIS	;C)		m the	
		related	stee	truste			bens		(W-2/1099-MISC)			_	nizatio	
		organizations below	Individual trustee or director	Institutional trustee		sey employee	Highest compensated employee						relate	
		line)	livid	iti	Officer	l ma /	t ploy	Former				orgar	nizatio	ns
		11110)	Ĕ	Ĕ	₽	, Xe	분등	요			\dashv			
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				<u> </u>			<u> </u>	_	0.	265,54	10	E 2	,03	0
	Subtotal									205,54			, 03	
	Total from continuation sheets to Part VI								0.	265 54	0.	<u> </u>		0.
<u>d</u>	Total (add lines 1b and 1c)								0.	265,54		53	,03	9.
2	Total number of individuals (including but r	not limited to th	ose	liste	d ab	oove) wh	o re	eceived more than \$100,	000 of reportable)			^
	compensation from the organization													0
											,	`	Yes	No
3	Did the organization list any former officer	, director, trust	ee, l	кеу є	empl	loye	e, or	hig	hest compensated empl	oyee on				
	line 1a? If "Yes," complete Schedule J for s	such individual										3		Х
4	For any individual listed on line 1a, is the su	um of reportabl	le co	mpe	ensa	tion	and	oth	ner compensation from th	ne organization				
	and related organizations greater than \$150	0,000? If "Yes.	." co	mple	ete S	Sche	edule	J f	or such individual			4	Х	
5	Did any person listed on line 1a receive or a													
	rendered to the organization? If "Yes," con					•			•		[5		Х
Sec	tion B. Independent Contractors	ipicie ochedan	007	0/ 30	acii ,	00/0	011							
1	Complete this table for your five highest co	mpensated inc	dene	nde	nt co	ontra	acto	s th	nat received more than \$	100 000 of comp	ensat	tion fror	n	
•	the organization. Report compensation for										onout			
	(A)	tric calcridar y	carc	JI IGII	ig w	itii	JI VVI	<u> </u>	(B)	Jai.		(C)		
	Name and business	address							Description of s	ervices	C	ompens	ı sation	
260			7	<u>~</u>	тт	다스		_			<u>_</u>	ompon	- Cation	
	CAMPAIGN CONSULTING,	-		CO	ш	ĒС	c	- 1	PETITION SIGN	NATURE		117	- га	^
AVI	E., SUITE 360, ITHACA,	NY 1485	U					_	GATHERING			117	,51	. 0 •
								_						
_								_						

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Total number of independent contractors (including but not limited to those listed above) who received more than

\$100,000 of compensation from the organization

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Part VIII

VIII ∣ Statement of Rev	enue
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			Check if Schedule O contains a respons	e or note to any li	ne in this Part VIII			
			Officer if Schedule O contains a respons	e of flote to arry in	(A)	(B)	(C)	(D)
					Total revenue	Related or exempt	Unrelated	Revenue excluded
						function revenue	business revenue	from tax under
								sections 512 - 514
ts st	1	а	Federated campaigns 1a					
rar		b	Membership dues 1b					
e, E		С	Fundraising events 1c					
ifts ar A			Related organizations 1d					
Contributions, Gifts, Grants and Other Similar Amounts			Government grants (contributions) 1e					
Sig			All other contributions, gifts, grants, and					
e ti		-		,570,629.				
GË		~	Noncash contributions included in lines 1a-1f	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-			
o		_	——————————————————————————————————————		2,570,629.			
O a		n	Total. Add lines 1a-1f	Business Code				
				Business Code				
ce	2	а		_				
e Zi		b		_				
S T		С		_				
am		d						
Program Service Revenue		е		_				
Pr		f	All other program service revenue					
			Total. Add lines 2a-2f					
	3		Investment income (including dividends, inte					
	_		other similar amounts)		141,692.			141,692.
	4		Income from investment of tax-exempt bond		212,0320			
			•	-				
	5		Royalties(i) Real	(ii) Personal				
				(II) Personal	_			
	6		Gross rents 6a					
			Less: rental expenses 6b		4			
		С	Rental income or (loss) 6c					
		d	Net rental income or (loss)	<u></u>				
	7	а	Gross amount from sales of (i) Securities	s (ii) Other				
			assets other than inventory 7a					
		b	Less: cost or other basis					
ē			and sales expenses 7b					
Revenue		С	Gain or (loss) 7c					
ě			Net gain or (loss)					
her F			Gross income from fundraising events (not					
Oth	0	а						
٥								
			contributions reported on line 1c). See					
		_	· · · · · · · · · · · · · · · · · · ·	Ba	4			
				3b				
			Net income or (loss) from fundraising events	<u> </u>				
	9	а	Gross income from gaming activities. See					
			Part IV, line 19	Эа				
		b	Less: direct expenses	9b				
		С	Net income or (loss) from gaming activities_	_				
	10	а	Gross sales of inventory, less returns					
			and allowances1	0a				
		b		0b				
			Net income or (loss) from sales of inventory					
		Ŭ	The meeting of (1995) from saise of inventory	Business Code				
ns	44	_						
e eo	11							
Miscellaneous Revenue		b						
Se Se		С						
Μis			All other revenue					
\perp		е	Total. Add lines 11a-11d	<u> </u>	0.000			144 500
	12		Total revenue. See instructions	<u></u>	2,712,321.	0.	0.	141,692.

Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) Check if Schedule O contains a response or note to any line in this Part IX (C) Management and general expenses (D) Do not include amounts reported on lines 6b. Program service expenses Total expenses Fundraising 7b, 8b, 9b, and 10b of Part VIII. expenses Grants and other assistance to domestic organizations 12,039,562. 12,039,562. and domestic governments. See Part IV, line 21 Grants and other assistance to domestic individuals. See Part IV, line 22 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 Benefits paid to or for members Compensation of current officers, directors, trustees, and key employees Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Other salaries and wages 7 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) Other employee benefits 9 10 Payroll taxes Fees for services (nonemployees): Management 6,846. 24,238. 15,314. 2,078. Legal 24,481. 24,481. Accounting 258,533. 258,533. Lobbying Professional fundraising services. See Part IV, line 17 Investment management fees Other. (If line 11g amount exceeds 10% of line 25, 62,440. 1,390. 63,830. column (A) amount, list line 11g expenses on Sch O.) Advertising and promotion 12 1,821. 1,784 Office expenses 13 Information technology 14 15 Royalties 16 Occupancy 4,096. 4,096. 17 18 Payments of travel or entertainment expenses for any federal, state, or local public officials 552. 552 Conferences, conventions, and meetings 19 20 Payments to affiliates 21 22 Depreciation, depletion, and amortization 3,720. 3,720. 23 Other expenses. Itemize expenses not covered 24 above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) 172,346. 172,346. ADMIN. EXPENSES FEES AND EXCISE TAX 5,505. 5,505. С d All other expenses 12,598,684. 12,548,195. 37,568. 12,921. Total functional expenses. Add lines 1 through 24e 25 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. if following SOP 98-2 (ASC 958-720)

art X	Balance Sneet				
	Check if Schedule O contains a response or r	note to any line in this Part X			
			(A) Beginning of year		(B) End of year
1	Cash - non-interest-bearing		220,461.	1	230,909
2	Savings and temporary cash investments		10,112,471.	2	3,981,426
3	Pledges and grants receivable, net		7,753,096.	3	4,350,000
4	Accounts receivable, net			4	
5	Loans and other receivables from any current				
	trustee, key employee, creator or founder, sub	ostantial contributor, or 35%			
	controlled entity or family member of any of the	nese persons		5	
6	Loans and other receivables from other disqu				
	under section 4958(f)(1)), and persons describ	ed in section 4958(c)(3)(B)		6	
7	Notes and loans receivable, net			7	
8 9	Inventories for sale or use			8	
₹ 9	B			9	
10a	Land, buildings, and equipment: cost or other	·			
	basis. Complete Part VI of Schedule D	10a			
b	Less: accumulated depreciation			10c	
11	Investments - publicly traded securities		5,173,200.	11	5,438,198
12	Investments - other securities. See Part IV, line	e 11		12	
13	Investments - program-related. See Part IV, lin	e 11		13	
14	Intangible assets			14	
15	Other assets. See Part IV, line 11		0.	15	18,80
16	Total assets. Add lines 1 through 15 (must ed		23,259,228.	16	14,019,33
17	Accounts payable and accrued expenses		17,472.	17	31,98
18	Grants payable			18	
19	Deferred revenue			19	
20	Tax-exempt bond liabilities			20	
21	Escrow or custodial account liability. Complet			21	
22	Loans and other payables to any current or fo				
	trustee, key employee, creator or founder, sub				
22	controlled entity or family member of any of the			22	
23	Secured mortgages and notes payable to unr			23	
24	Unsecured notes and loans payable to unrela			24	
25	Other liabilities (including federal income tax,				
	parties, and other liabilities not included on lin	nes 17-24). Complete Part X	0.		E00 00
	of Schedule D			25	500,00
26		\ \ \	17,472.	26	531,98
,	Organizations that follow FASB ASC 958, c	heck here 🕨 🔼			
07	and complete lines 27, 28, 32, and 33.		15,388,660.	07	8,507,79
27			7,853,096.	27	4,979,55
28	Net assets with donor restrictions		7,055,090.	28	4,313,33
	Organizations that do not follow FASB ASC	958, check here			
20	and complete lines 29 through 33.	do.		20	
29	Capital stock or trust principal, or current fund			29	
30	Paid-in or capital surplus, or land, building, or			30	
27 28 29 30 31 32	Retained earnings, endowment, accumulated		23,241,756.	31	13,487,35
_	Total net assets or fund balances			32	
33	Total liabilities and net assets/fund balances		23,259,228.	33	14,019 Form 9

Pa	T XI Reconciliation of Net Assets					
	Check if Schedule O contains a response or note to any line in this Part XI					
1	Total revenue (must equal Part VIII, column (A), line 12)	1		<u>,712</u>		
2	Total expenses (must equal Part IX, column (A), line 25)	2		, 598		
3	Revenue less expenses. Subtract line 2 from line 1	3	-9	, 88	5,3	63.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	23			<u>56.</u>
5	Net unrealized gains (losses) on investments	5		133	1,9	58.
6	Donated services and use of facilities	6				
7	Investment expenses	7				
8	Prior period adjustments	8				
9	Other changes in net assets or fund balances (explain on Schedule O)	9				0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,					
	column (B))	10	13	48	7,3	51.
Pa	t XII Financial Statements and Reporting					
	Check if Schedule O contains a response or note to any line in this Part XII					X
			_		Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other		_			
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule	Ο.				
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		L	2a		_X_
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a				
	separate basis, consolidated basis, or both:					
	Separate basis Consolidated basis Both consolidated and separate basis					
b	Were the organization's financial statements audited by an independent accountant?		[2b	X	<u> </u>
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,				
	consolidated basis, or both:					
	X Separate basis Consolidated basis Both consolidated and separate basis					
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	audit,				
	review, or compilation of its financial statements and selection of an independent accountant?		L	2c		X
	If the organization changed either its oversight process or selection process during the tax year, explain on Sche	edule O.				
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit				
	Act and OMB Circular A-133?			За		<u> X</u>
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required					
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits			3b		<u> </u>
				Form	990	(2019)

932012 01-20-20

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

➤ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Name of the organization

DRUG POLICY ACTION

52-1951197

Organiza	ation type (check or	ne):
Filers of	:	Section:
Form 99	0 or 990-EZ	X 501(c)(4) (enter number) organization
		4947(a)(1) nonexempt charitable trust not treated as a private foundation
		527 political organization
Form 99	0-PF	501(c)(3) exempt private foundation
		4947(a)(1) nonexempt charitable trust treated as a private foundation
		501(c)(3) taxable private foundation
	, 0	s covered by the General Rule or a Special Rule . 7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.
General	Rule	
X	-	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.
Special	Rules	
	sections 509(a)(1) a any one contributor	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from r, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; line 1. Complete Parts I and II.
	year, total contribut	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the tions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the ty to children or animals. Complete Parts I, II, and III.
	year, contributions is checked, enter he purpose. Don't com	a described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box here the total contributions that were received during the year for an exclusively religious, charitable, etc., anplete any of the parts unless the General Rule applies to this organization because it received nonexclusively e, etc., contributions totaling \$5,000 or more during the year \(\bigsim \) \$
but it m u	ust answer "No" on	at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to ne filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

 $\ \ \, \text{LHA} \ \ \, \text{For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.}$

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization Employer identification number

DRUG POLICY ACTION

52-1951197

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	onal space is needed.					
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution				
1	N/A	\$ <u>1,000,000</u> .	Person X Payroll Noncash (Complete Part II for noncash contributions.)				
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution				
2	N/A	\$500,000.	Person X Payroll				
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution				
3	N/A	\$ 250,000.	Person X Payroll				
(a)	(b)	(c)	(d)				
No. 4_	Name, address, and ZIP + 4 N/A	Total contributions \$ 250,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)				
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution				
5	N/A	\$ 200,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)				
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution				
6	N/A	\$100,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)				

Name of organization Employer identification number

DRUG POLICY ACTION

52-1951197

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	N/A	\$ 64,897.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	N/A	\$30,660.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
9	N/A	\$ <u>7,653.</u>	Person X Payroll
(a)	(b)	(c)	(d)
	Name, address, and ZIP + 4 N/A	Total contributions \$ 5,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
11_	N/A	\$5,000.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization Employer identification number

DRUG POLICY ACTION

52-1951197

Part II	Noncash Property (see instructions). Use duplicate copies of Part I	I if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
923453 11-06			990 990-F7 or 990-PF) (2019)

Name of organization **Employer identification number** DRUG POLICY ACTION 52-1951197 Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) Use duplicate copies of Part III if additional space is needed. (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

SCHEDULE C

(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy

Tax) (see separate instructions), then				
• Section 501(c)(4), (5), or (6) organization	tions: Complete Part III.		[F	
Name of organization	I TOX ACETON		Em	ployer identification number 52-1951197
	LICY ACTION panization is exempt under	section 501(c) or	ris a section 527 o	
Fait I-A Complete II the org	janization is exempt under	Section 30 I(c) of	is a section ser o	rganization.
Provide a description of the organiz	ration's direct and indirect political	oompoign activities in l	Dort IV	
2 Political campaign activity expendit				\$ 5,000.
3 Volunteer hours for political campai				5,000.
Volunteer riburs for political campai	gir activities	•••••		
Part I-B Complete if the org	janization is exempt under			
1 Enter the amount of any excise tax	, ,		>	
2 Enter the amount of any excise tax				
3 If the organization incurred a section				
4a Was a correction made?				Yes No
b If "Yes," describe in Part IV.	janization is exempt under	anation FO1/a	wasni sasian E01	2/2/
-	· -		-	
1 Enter the amount directly expended	, ,	•		\$
2 Enter the amount of the filing organ		•	_	. гооо
exempt function activities			>	\$5,000.
3 Total exempt function expenditures		,		\$ 5,000.
line 17b				
4 Did the filing organization file Form	•			
5 Enter the names, addresses and en made payments. For each organizar				
contributions received that were pro	•	0 0		·
political action committee (PAC). If	• •			ato oogrogatoa laria or a
(a) Name	(b) Address	(c) EIN	(d) Amount paid from	(e) Amount of political
(a) Name	(b) Address	(0) EIIV	filing organization's	contributions received and
			funds. If none, enter -0	
				delivered to a separate political organization.
				If none, enter -0
MIKE SCHMIDT FOR	P.O. BOX 6436			
	PORTLAND, OR 9722	84-2304943	5,000	. 0.
	·		·	
	1		1	1

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2019

LHA

932041 11-26-19

Lobbying Expenditures During 4-Year Averaging Period									
Calendar year (or fiscal year beginning in) (a) 2016 (b) 2017 (c) 2018 (d) 2019 (e) Total									
2a Lobbying nontaxable amount									
b Lobbying ceiling amount (150% of line 2a, column(e))									
c Total lobbying expenditures									
d Grassroots nontaxable amount									
e Grassroots ceiling amount (150% of line 2d, column (e))									
f Grassroots lobbying expenditures									

Schedule C (Form 990 or 990-EZ) 2019

Schedule C (Form 990 or 990-EZ) 2019 DRUG POLICY ACTION 52-19511 Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a	through 1i below, provide in Part IV a detailed description	((a)		(b)	
of the lobbying activity.	Yes	N	lo	Amo	ount	
1 During the year, did the filing o	rganization attempt to influence foreign, national, state, or					
local legislation, including any	attempt to influence public opinion on a legislative matter					
or referendum, through the use						
a Volunteers?			-			
,	ude compensation in expenses reported on lines 1c through 1i)?					
			-			
	rs, or the public?		1			
e Publications, or published or be			-			
f Grants to other organizations for						
=	their staffs, government officials, or a legislative body?					
	ars, conventions, speeches, lectures, or any similar means?		1			
	a the annual attent to be not decombed in eaching FOM/aV/000					
	e the organization to be not described in section 501(c)(3)?					
	ny tax incurred under section 4912					
	ny tax incurred by organization managers under section 4912					
Part III-A Complete if the	d a section 4912 tax, did it file Form 4720 for this year?organization is exempt under section 501(c)(4), sec	tion 501(c)(<u> </u> 5), o	r sec	tion	
	gaa.a.o		(0), 0			
501(c)(6).					Yes	No
501(c)(6).					163	
	nore) dues received nondeductible by members?		ſ	1	163	
1 Were substantially all (90% or r	more) dues received nondeductible by members?			1 2	163	
 Were substantially all (90% or r Did the organization make only Did the organization agree to c Part III-B Complete if the c 501(c)(6) and if e 	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), secuither (a) BOTH Part III-A, lines 1 and 2, are answere	the prior year	 _{′?} [5), o i	2 3 r sec	tion	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the c 501(c)(6) and if e answered "Yes."	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), secutive ither (a) BOTH Part III-A, lines 1 and 2, are answere	the prior year tion 501(c)(d "No" OR	(5), o	2 3 r sec	tion	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members	the prior year tion 501(c)(d "No" OR	(5), o	2 3 r sec Part I	tion	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of positions)	the prior year tion 501(c)(d "No" OR	(5), o	2 3 r sec Part I	tion	3, is
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1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the amodoes the organization agree to expenditure next year? 5 Taxable amount of lobbying an Part IV Supplemental Interprovide the descriptions required for instructions); and Part II-B, line 1. Als	arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectified (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of polying and political expenditures (do not include amounts of polying and political expenditures (do not include amounts of polying 527(f) tax was paid). Section 6033(e)(1)(A) notices of nondeductible section 162(e) dues nount on line 2c exceeds the amount on line 3, what portion of the expenditure to the reasonable estimate of nondeductible lobbying and political expenditures (see instructions) Indicate the political expenditures (see instructions) Formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated ground in the formation of the forma	n the prior year tion 501(c)(d "No" OR litical	(b) F	2 3 r sec Part I 1 2a 2b 2c 3	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the amodes the organization agree to expenditure next year? 5 Taxable amount of lobbying an Part IV Supplemental In Provide the descriptions required for instructions); and Part II-B, line 1. Als PART I-A, LINE 1:	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of political expenditures (do not include amounts of political expenditures). Section 6033(e)(1)(A) notices of nondeductible section 162(e) dues amount on line 2c exceeds the amount on line 3, what portion of the expenditure of the reasonable estimate of nondeductible lobbying and add political expenditures (see instructions) formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group, complete this part for any additional information.	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the amodes the organization agree to expenditure next year? 5 Taxable amount of lobbying an Part IV Supplemental In Provide the descriptions required for instructions); and Part II-B, line 1. Als PART I-A, LINE 1:	arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectified (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of polying and political expenditures (do not include amounts of polying and political expenditures (do not include amounts of polying 527(f) tax was paid). Section 6033(e)(1)(A) notices of nondeductible section 162(e) dues nount on line 2c exceeds the amount on line 3, what portion of the expenditure to the reasonable estimate of nondeductible lobbying and political expenditures (see instructions) Indicate the political expenditures (see instructions) Formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated ground in the formation of the forma	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the and does the organization agree to expenditure next year? 5 Taxable amount of lobbying and Part IV Supplemental Interpretations); and Part II-B, line 1. Als PART I-A, LINE 1: THE ORGANIZATION MA	arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of political expenditures (do not include amounts of political expenditures). section 6033(e)(1)(A) notices of nondeductible section 162(e) dues mount on line 2c exceeds the amount on line 3, what portion of the expenditure of the reasonable estimate of nondeductible lobbying and political expenditures (see instructions) formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group, complete this part for any additional information. ADE A \$5,000 CONTRIBUTION TO A PAC	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the amodes the organization agree to expenditure next year? 5 Taxable amount of lobbying and Part IV Supplemental Interpretations); and Part II-B, line 1. Als PART I-A, LINE 1: THE ORGANIZATION MA	rin-house lobbying expenditures of \$2,000 or less? arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of political expenditures (do not include amounts of political expenditures). Section 6033(e)(1)(A) notices of nondeductible section 162(e) dues amount on line 2c exceeds the amount on line 3, what portion of the expenditure of the reasonable estimate of nondeductible lobbying and add political expenditures (see instructions) formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group, complete this part for any additional information.	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the amodes the organization agree to expenditure next year? 5 Taxable amount of lobbying and Part IV Supplemental Interpretations); and Part II-B, line 1. Als PART I-A, LINE 1: THE ORGANIZATION MA	arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of political expenditures (do not include amounts of political expenditures). section 6033(e)(1)(A) notices of nondeductible section 162(e) dues mount on line 2c exceeds the amount on line 3, what portion of the expenditure of the reasonable estimate of nondeductible lobbying and political expenditures (see instructions) formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group, complete this part for any additional information. ADE A \$5,000 CONTRIBUTION TO A PAC	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is
1 Were substantially all (90% or r 2 Did the organization make only 3 Did the organization agree to c Part III-B Complete if the organization agree to c 501(c)(6) and if e answered "Yes." 1 Dues, assessments and similar 2 Section 162(e) nondeductible le expenses for which the section a Current year b Carryover from last year c Total 3 Aggregate amount reported in 4 If notices were sent and the and does the organization agree to expenditure next year? 5 Taxable amount of lobbying and Part IV Supplemental Interpretations); and Part II-B, line 1. Als PART I-A, LINE 1: THE ORGANIZATION MA	arry over lobbying and political campaign activity expenditures from organization is exempt under section 501(c)(4), sectither (a) BOTH Part III-A, lines 1 and 2, are answered amounts from members obbying and political expenditures (do not include amounts of political expenditures (do not include amounts of political expenditures). section 6033(e)(1)(A) notices of nondeductible section 162(e) dues mount on line 2c exceeds the amount on line 3, what portion of the expenditure of the reasonable estimate of nondeductible lobbying and political expenditures (see instructions) formation Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group, complete this part for any additional information. ADE A \$5,000 CONTRIBUTION TO A PAC	the prior year tion 501(c)(d "No" OR litical excess d political	(b) F	2 3 r sec Part I 1 2a 2b 2c 3 4 5	etion II-A, line	3, is

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

DRUG POLICY ACTION

Employer identification number 52-1951197

Par	t I Organizations Maintaining Donor Advised	d Funds or Other	'Si	milar Funds o	r Acc	coun	ts. Complete if the
	organization answered "Yes" on Form 990, Part IV, line	e 6.					
		(a) Donor adv	ised	funds	(b) Fund	ds and other accounts
1	Total number at end of year						
2	Aggregate value of contributions to (during year)						
3	Aggregate value of grants from (during year)						
4	Aggregate value at end of year						
5	Did the organization inform all donors and donor advisors in $\boldsymbol{\nu}$	vriting that the assets	held	d in donor advised	d funds	3	
	are the organization's property, subject to the organization's e						Yes No
6	Did the organization inform all grantees, donors, and donor ac	dvisors in writing that	grar	nt funds can be us	sed on	ly	
	for charitable purposes and not for the benefit of the donor or	r donor advisor, or for	any	other purpose co	onferrin	ng	
Б.	impermissible private benefit?						Yes No
Par				on Form 990, Pa	art IV, I	ine 7.	
1	Purpose(s) of conservation easements held by the organization	-	y).				
	Preservation of land for public use (for example, recreat	tion or education)	_			-	important land area
	Protection of natural habitat	L		Preservation of a	certifi	ed his	toric structure
	Preservation of open space						
2	Complete lines 2a through 2d if the organization held a qualifi	ied conservation cont	ribut	tion in the form of	a con		•
	day of the tax year.				- 1		Held at the End of the Tax Year
а	Total number of conservation easements				├	2a	
b						2b	
С	Number of conservation easements on a certified historic stru					2c	
d	Number of conservation easements included in (c) acquired a				•		
_	listed in the National Register				L	2d	
3	Number of conservation easements modified, transferred, rele	eased, extinguished, o	or te	rminated by the o	rganız	ation (during the tax
_	year >						
4	Number of states where property subject to conservation eas						
5	Does the organization have a written policy regarding the per						
•	violations, and enforcement of the conservation easements it						Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting, l	nandling of violations,	, and	enforcing conse	rvation	ease	ments during the year
7	Amount of expenses incurred in monitoring, inspecting, hand	ling of violetions, and	onfo	roing concentation	n 000	mont	a during the year
7	S	iling of violations, and	emic	ording conservation	ni ease	emem	s during the year
8	Does each conservation easement reported on line 2(d) above	a catisfy the requirem	onto	of section 170(h)	(4)(D)(i)		
Ü							Yes No
9	and section 170(h)(4)(B)(ii)?						
3	balance sheet, and include, if applicable, the text of the footn						
	organization's accounting for conservation easements.	ote to the organization	1131	manciai statemen	ito tilat	. uesc	TIDES THE
Par	t III Organizations Maintaining Collections of	Art, Historical T	rea	sures, or Oth	er Si	milar	Assets.
	Complete if the organization answered "Yes" on Form	990, Part IV, line 8.		-			
1a	If the organization elected, as permitted under FASB ASC 95		ever	nue statement and	d balar	nce sh	eet works
	of art, historical treasures, or other similar assets held for pub	•					
	service, provide in Part XIII the text of the footnote to its finan	ŕ				•	
b	If the organization elected, as permitted under FASB ASC 956					sheet	works of
	art, historical treasures, or other similar assets held for public						
	provide the following amounts relating to these items:	,	,			•	•
	(i) Revenue included on Form 990, Part VIII, line 1					▶ 5	.
							<u> </u>
2	If the organization received or held works of art, historical trea					rovide	
	the following amounts required to be reported under FASB A				, , , , ,		
а	Revenue included on Form 990, Part VIII, line 1	-				> 5	.
	Assets included in Form 990, Part X					> 9	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2019

Par	rt III Organizations Maintainin	g Colle	ections of Ar	t, Histo	orical Tre	easures, or	Other	· Sim	ilar A	ssets	(continu	ued)	
3	Using the organization's acquisition, acc	ession, a	and other record	ls, check	any of the	following that	make si	gnifica	ant use	of its			
	collection items (check all that apply):				•	_		-					
а	Public exhibition		(ı 🗆	Loan or exc	hange progra	ım						
b	Scholarly research		•			0 . 0							
С		i											
4	Provide a description of the organization		tions and explai	n how th	ev further th	ne organizatio	n's exen	ua tan	rpose ii	n Part	XIII.		
5	During the year, did the organization soli		-		-	-			-				
_	to be sold to raise funds rather than to be					•					Yes		No
Par	rt IV Escrow and Custodial Ar												
	reported an amount on Form 990				9				,	,	,		
1a	Is the organization an agent, trustee, cus	todian c	or other intermed	liary for o	contribution	s or other ass	ets not i	nclude	ed				_
	on Form 990, Part X?										Yes		No
b	If "Yes," explain the arrangement in Part												
	, , ,		,	3							Amount		
С	Beginning balance							1	lc				
d	Additions during the year								ld				
е	Distributions during the year								le				
f	Ending balance								1f				
2a	Did the organization include an amount of										Yes		No
	If "Yes," explain the arrangement in Part										_		
	rt V Endowment Funds. Compl							0.					
	•) Current year		rior year	(c) Two year			ree years	s back	(e) Four	years ba	ack
1a	Beginning of year balance								-				_
b	Contributions												_
С	Net investment earnings, gains, and loss												
d	Grants or scholarships												
е	Other expenditures for facilities												
	and programs												
f	Administrative expenses												
g													
2	Provide the estimated percentage of the		year end balanc	e (line 1	ı, column (a)) held as:	•						_
а				%	,								
b			%										
С	Term endowment	%											
	The percentages on lines 2a, 2b, and 2c	 should e	equal 100%.										
За	Are there endowment funds not in the po	ssessio	n of the organiza	ation tha	t are held a	nd administer	ed for th	e orga	ınizatioı	n	_		
	by:										,	Yes 1	No
	(i) Unrelated organizations										3a(i)		
	(ii) Related organizations										3a(ii)		
b	If "Yes" on line 3a(ii), are the related orga										3b		
4	Describe in Part XIII the intended uses of												
Par	rt VI Land, Buildings, and Equi												
	Complete if the organization answ	/ered "Y	es" on Form 990	D, Part IV	, line 11a. S	See Form 990,	Part X,	line 10)				
	Description of property		(a) Cost or o	other	(b) Cos	t or other	(c) A	ccumi	ulated		(d) Book	value	
			basis (investi	ment)	basis	(other)	dep	orecia	tion				
1a	Land												
	Buildings												
	Leasehold improvements												
	Equipment												
	Other												
Total	Add lines 1a through 1e (Column (d) mu	ict occid	Form 000 David	V colum	n (P) line 1	00.)				• T		- 1	0.

Schedule D (Form 990) 2019

Schedule D (Form 990) 2019 DRUG POLICY	ACTION	52	-1951197 Pag
Part VII Investments - Other Securities.	5 000 5 · ** · **	441 0 5 000 5 111 11	
Complete if the organization answered "Yes" of			l afa
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end	i-ot-year market value
1) Financial derivatives			
2) Closely held equity interests			
3) Other			
(A)			
(B)			
(C)			
(D)			
(E)			
(F)			
(G)			
(H)			
otal. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)			
Part VIII Investments - Program Related.			
Complete if the organization answered "Yes" o			l af
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end	i-or-year market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
otal. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)			
Part IX Other Assets.			
Complete if the organization answered "Yes" o		11d. See Form 990, Part X, line 15.	(b) Deelesselse
	Description		(b) Book value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
otal. (Column (b) must equal Form 990, Part X. col. (B) line Part X Other Liabilities.	15.)	>	
Complete if the organization answered "Yes" of	on Form 990, Part IV, line	11e or 11f. See Form 990, Part X, line 25.	
. (a) Description of liability			(b) Book value
(1) Federal income taxes			
(2) RESCINDED GRANT			500,00
(3)			
(4)			

500,000. Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

Schedule D (Form 990) 2019

(5) (6) (7) (8)

<u>Schedule D (Form 990) 2019</u> DRUG POLICY ACTION Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. 2,844,279. Total revenue, gains, and other support per audited financial statements 1 Amounts included on line 1 but not on Form 990, Part VIII, line 12: 2 131,958. a Net unrealized gains (losses) on investments 2a Donated services and use of facilities Recoveries of prior year grants 2c Other (Describe in Part XIII.) 131,958. Add lines 2a through 2d 2e 2,712,321. Subtract line 2e from line 1 Amounts included on Form 990, Part VIII, line 12, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b Other (Describe in Part XIII.) c Add lines 4a and 4b 4c 2,712,321. Total revenue. Add lines 3 and 4c. (This must equal Form 990. Part I, line 12.) 5 Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. 12,598,684. Total expenses and losses per audited financial statements 1 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities 2a **b** Prior year adjustments 2b 2c Other losses d Other (Describe in Part XIII.) Add lines 2a through 2d 2e 12,598,684. Subtract line 2e from line 1 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b 4a **b** Other (Describe in Part XIII.) 4c c Add lines 4a and 4b Total expenses. Add lines 3 and 4c. (This must equal Form 990. Part I. line 18.) Part XIII Supplemental Information. Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part XI, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information. PART X, LINE 2: DRUG POLICY ACTION RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. MANAGEMENT HAS DETERMINED THAT DRUG POLICY ACTION HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION OR DISCLOSURE. DRUG POLICY ACTION IS NO LONGER SUBJECT TO EXAMINATION BY THE APPLICABLE TAXING

Schedule D (Form 990) 2019

JURISDICTIONS FOR THE PERIODS PRIOR TO 2017.

SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047 **2019**

Open to Public Inspection

Name of the organization							Employer identification number
	CY ACTION						52-1951197
Part I General Information on Grants a							
1 Does the organization maintain records		-			-		
criteria used to award the grants or assis	stance?						X Yes No
2 Describe in Part IV the organization's pro							
Part II Grants and Other Assistance to	-				anization answered "Y	es" on Form 990, Part	: IV, line 21, for any
recipient that received more than			1		(f) Method of	T	T
(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
DRUG POLICY ALLIANCE							TO SUPPORT ALLIANCE'S
131 W. 33RD STREET, 15TH FLOOR	50 1516600	E01/G1/31	10 454 560	_			OPERATIONS AND
NEW YORK, NY 10001	52-1516692	501(C)(3)	10,454,562.	0.			ACTIVITIES. FUNDING TO SUPPORT OREGON
INITIATIVE PETITION COMMITTEE NO.							MEASURE 110, DRUG
44 - 3321 SE 20TH AVENUE -							DECRIMINALIZATION AND
PORTLAND, OR 97202		N/A	1,580,000.	0.			ADDICTION TREATMENT
FORTHAND, OR 97202		N/A	1,300,000.	0.			ADDICTION TREATMENT
2 Enter total number of section 501(c)(3) a			e line 1 table				<u>1.</u>
3 Enter total number of other organization	s listed in the line	1 table					<u> </u>

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Schedule I (Form 990) (2019)

Part III Grants and Other Assistance to Domestic Individuals. Part III can be duplicated if additional space is needed.	. Complete if the	organization answe	ered "Yes" on Form 9	90, Part IV, line 22.	
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non- cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
Part IV Supplemental Information. Provide the information req	uired in Part I, lin	e 2; Part III, column	(b); and any other ac	Iditional information.	
PART I, LINE 2:					
THE ORGANIZATION PROVIDES GRANT FUN	NDING TO	ITS RELATE	D ORGANIZA	TION, DRUG	
POLICY ALLIANCE, TO COVER GENERAL O	OPERATING	EXPENSES.	THE ORGAN	IZATION	
MONITORS THE USE OF ITS GRANT FUNDS	S THROUGH	COMMON MA	NAGEMENT A	ND BOARD	
OVERLAP, OFFERING INHERENT VISIBILE	ITY OF TH	E USE OF T	HE FUNDS.		
THE ORGANIZATION ALSO PROVIDED FUND	DING TO O	REGON INIT	IATIVE PET	ITION	
COMMITTEE NO. 44 TO COVER THE COMMI	ITTEE'S E	XPENSES, I	NCLUDING S	IGNATURE	
GATHERING, CAMPAIGN STAFFING COSTS	, DIGITAL	WORK, AND	OTHER COS	TS. THE	

Part IV Supplemental Information
ORGANIZATION WAS ABLE TO MONITOR THE USES OF THE FUNDS THROUGH MANAGEMENT
EMPLOYEES WHO WERE ACTIVE PARTICIPANTS ON THE COMMITTEE AND HAD VISIBILITY
OF THE EXPENDITURES.
PART II, LINE 1, COLUMN (H):
NAME OF ORGANIZATION OR GOVERNMENT: INITIATIVE PETITION COMMITTEE NO. 44
(H) PURPOSE OF GRANT OR ASSISTANCE: FUNDING TO SUPPORT OREGON MEASURE
110, DRUG DECRIMINALIZATION AND ADDICTION TREATMENT BALLOT INITIATIVE

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

➤ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

➤ Attach to Form 990.

Open to Public

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service Name of the organization

Part I Questions Regarding Compensation

Department of the Treasury

► Go to www.irs.gov/Form990 for instructions and the latest information.

DRUG POLICY ACTION

Employer identification number 52-1951197

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2		
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	Compensation committee Written employment contract			
	Independent compensation consultant Compensation survey or study			
	Form 990 of other organizations Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing			
	organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a		_X_
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		Х Х Х
С	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		_X_
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the revenues of:			77
	The organization?	5a		<u>X</u>
b	Any related organization?	5b		X
_	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the net earnings of:			37
а	The organization?	6a		<u>X</u>
b	Any related organization?	6b		X
_	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments	_		v
_	not described on lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			v
_	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53.4958-6(c)?	9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

	(B)	Breakdown of	W-2 and/or 1099-MI	SC compensation	(C) Retirement and other deferred	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B)
(A) Name and Title		(i) Base empensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation	benefits	(6)(1)-(0)	reported as deferred on prior Form 990
(1) MARIA MCFARLAND	(i)	0.	0.	0.	0.	0.	0.	0.
	ii) 2	259,360.	0.	180.	26,000.	27,039.		0.
	(i)	•			•	•		
	ii)							
	(i)							
	ii)							
	(i)							
	ii)							
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	ii)							
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	ii)							
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	ii)							
	(i) ii)							
	(i) ii)							
	(i)							
	ii)							
	(i)							
	ii)							

Part III Supplemental Information
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.
PART I, LINE 3:
THE ORGANIZATION'S EXECUTIVE DIRECTOR, WAS AN EMPLOYEE OF ITS RELATED
ORGANIZATION, DRUG POLICY ALLIANCE. SHE LEFT THE ORGANIZATION IN MARCH
2020, AT WHICH POINT DRUG POLICY ALLIANCE CONTRACTED WITH AN INTERIM
EXECUTIVE DIRECTOR WHO ASSUMED THE ROLE FOR THE REMAINDER OF THE TAX YEAR.
DRUG POLICY ALLIANCE SUBSEQUENTLY HIRED A NEW EXECUTIVE DIRECTOR IN
SEPTEMBER 2020.

SCHEDULE O

Internal Revenue Service

(Form 990 or 990-EZ)
Department of the Treasury

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

2019
Open to Public Inspection

OMB No. 1545-0047

Name of the organization

DRUG POLICY ACTION

Employer identification number 52-1951197

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

WE WORK TO PASS NEW DRUG LAWS AND POLICIES GROUNDED IN SCIENCE,

COMPASSION, HEALTH AND HUMAN RIGHTS - AND TO ELECT CANDIDATES AT EVERY

LEVEL WHO SUPPORT THESE PRINCIPLES.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

CENTERS. SECOND, WE SEEK TO REPLACE CANNABIS ("MARIJUANA") PROHIBITION

WITH A SYSTEM OF SENSIBLE REGULATIONS THAT ADVANCE RACIAL EQUITY AND

SOCIAL JUSTICE AND REPAIR THE HARMS OF CRIMINALIZATION. AND THIRD, WE

SEEK TO ESTABLISH COMPASSIONATE, EVIDENCE-BASED SERVICES TO PREVENT

DRUG OVERDOSE DEATHS AND PROVIDE ASSISTANCE TO PEOPLE STRUGGLING WITH

SUBSTANCE USE DISORDERS.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

CAN LEAD TO LOSS OF EMPLOYMENT, HOUSING, PARENTAL RIGHTS, AND MORE.

AND, AS ALWAYS WITH THE DRUG WAR, ARRESTS ARE RACIALLY TARGETED, WITH A

PARTICULARLY DEVASTATING IMPACT ON GENERATIONS OF BLACK, BROWN, AND

INDIGENOUS PEOPLE.

THE OVEREMPHASIS ON CRIMINALIZATION HAS DISTORTED SYSTEMS OF CARE FOR

PEOPLE STRUGGLING WITH SUBSTANCE USE DISORDERS, CONTRIBUTING TO AN

ACUTE SHORTAGE OF SERVICES FOR THOSE WHO NEED AND WANT THEM. THE

DRAMATIC RISE IN OVERDOSE IN RECENT YEARS IS ROOTED IN CRIMINALIZATION,

WHICH DRIVES DRUG USE UNDERGROUND AND MAKES IT LESS LIKELY PEOPLE WILL

ACCESS HELP. MOREOVER, MANY OF THE AVAILABLE SERVICES RELY ON

SURVEILLANCE AND COERCION, FUNCTIONING LESS AS COMPASSIONATE PUBLIC

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule O (Form 990 or 990-EZ) (2019

932211 09-06-19

Employer identification number Name of the organization 52-1951197 DRUG POLICY ACTION HEALTH INTERVENTIONS AND MORE AS PUNITIVE CRIMINAL LEGAL CONTROLS. DRUG POLICY ACTION SPEARHEADED A GROUNDBREAKING BALLOT INITIATIVE IN OREGON THAT FOR THE FIRST TIME IN THE UNITED STATES, DECRIMINALIZED POSSESSION OF ALL DRUGS FOR PERSONAL USE. THIS INITIATIVE FUNDAMENTALLY SHIFTED OREGON'S RESPONSE TO DRUG USE, DISRUPTING THE MOST ARRESTED OFFENSE IN THE COUNTRY, AND SETTING AN EXAMPLE FOR OTHER STATES TO FOLLOW. SUBSEQUENT VICTORY IN OREGON IN NOVEMBER 2020 DEMONSTRATED THAT EFFECTIVE, COMPASSIONATE ALTERNATIVES TO ARREST, PROSECUTION, AND INCARCERATION ARE POLITICALLY VIABLE. OUR POLICY MODEL SEEKS TO DISMANTLE THE SYSTEM OF PUNISHMENT THAT HAS LONG BEEN AT THE CORE OF THE DRUG WAR WHILE BUILDING A NEW WAY OF RESPONDING TO PEOPLE WHO USE DRUGS. IT IS BASED IN EXTENSIVE POLICY AND PUBLIC OPINION RESEARCH, AS WELL AS 20+ YEARS OF OUR DUAL EXPERTISE IN THE CRIMINAL LEGAL AND PUBLIC HEALTH SYSTEMS. SPECIFICALLY, THE OREGON INITIATIVE PROPOSED TO DO THE FOLLOWING: 1) ELIMINATE CRIMINAL PENALTIES FOR DRUG POSSESSION FOR PERSONAL USE AND DECREASE OTHER PENALTIES. INCREASE ACCESS TO HEALTH AND HARM REDUCTION SERVICES, INCLUDING HOUSING, AND ESTABLISH TREATMENT CENTERS. FUND SERVICES PRIMARILY WITH TAX REVENUE FROM LEGAL MARIJUANA, UPWARD OF \$100 MILLION PER YEAR. FORM 990, PART VI, SECTION A, LINE 3: THE ORGANIZATION HAS A SERVICES AGREEMENT WITH DRUG POLICY ALLIANCE, A RELATED ORGANIZATION, WHICH PROVIDES ADMINISTRATIVE, PERSONNEL, AND RELATED

Schedule O (Form 990 or 990-EZ) (2019)

Name of the organization DRUG POLICY ACTION

Employer identification number 52-1951197

SERVICES TO THE ORGANIZATION. THE ORGANIZATION PAID DRUG POLICY ALLIANCE \$172,346 DURING THE TAX YEAR FOR THESE SERVICES.

FORM 990, PART VI, SECTION A, LINE 8B:

THE FULL BOARD OF TRUSTEES ACTED AS A WHOLE ON ALL MATTERS; THE ORGANIZATION HELD NO COMMITTEE MEETINGS DURING THE TAX YEAR.

FORM 990, PART VI, SECTION B, LINE 11B:

DRUG POLICY ACTION ENGAGED AN OUTSIDE ACCOUNTING FIRM TO PREPARE THE FORM 990. THE FORM 990 IS PROVIDED AND PRESENTED TO DRUG POLICY ACTION'S FINANCE COMMITTEE, AFTER DRUG POLICY ACTION'S CHIEF OPERATING OFFICER'S REVIEW.

ONCE ANY QUESTIONS OR CONCERNS ARE ADDRESSED, THE FORM 990 IS THEN SENT TO THE FULL BOARD BY EMAIL FOR THEIR REVIEW. ANY QUESTIONS FROM BOARD MEMBERS ARE DIRECTED BY THE BOARD PRESIDENT TO STAFF OR TO THE ACCOUNTING FIRM, AS APPROPRIATE. ONCE ALL QUESTIONS FROM THE BOARD ARE SATISFACTORILY RESOLVED, THE FORM 990 IS THEN FILED WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

DRUG POLICY ACTION CURRENTLY HAS IN PLACE A CONFLICT OF INTEREST POLICY

THAT APPLIES TO ANY BOARD MEMBER OR OFFICER. EACH BOARD MEMBER AND OFFICER

MUST ANNUALLY SIGN AND SUBMIT TO THE EXECUTIVE DIRECTOR A STATEMENT WHICH

AFFIRMS SUCH PERSON: (A) HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST

POLICY, (B) HAS READ AND UNDERSTANDS THE CONFLICT OF INTEREST POLICY, AND

(C) HAS AGREED TO COMPLY WITH THE POLICY. IF A POTENTIAL OR ACTUAL CONFLICT

IS DISCLOSED AT ANY TIME, THE AUDIT COMMITTEE WILL REVIEW THE MATERIAL

FACTS AND CIRCUMSTANCES. IF IT IS ESTABLISHED THAT AN ACTUAL CONFLICT

EXISTS, THE INDIVIDUAL WILL BE NOTIFIED IMMEDIATELY AND WILL NOT BE ALLOWED

TO VOTE OR BE A PART OF ANY DISCUSSIONS ABOUT ANY SUCH TRANSACTIONS THAT

34

13550415 756359 1621952.000

Schedule O (Form 990 or 990-EZ) (2019) Page 2 **Employer identification number** Name of the organization 52-1951197 DRUG POLICY ACTION HAVE TO DO WITH THE CONFLICT UNTIL THE CONFLICT IS RESOLVED. AT THE REQUEST OF THE AUDIT COMMITTEE, THE INTERESTED PERSON MAY PROVIDE INFORMATION REGARDING THE TRANSACTION PRIOR TO THE DELIBERATIONS OF THE BOARD. ANY DISCUSSION REGARDING THE CONFLICT OF INTEREST TRANSACTION IS DOCUMENTED IN THE MINUTES OF THE BOARD MEETINGS. THE MINUTES OF THE BOARD MEETING SHALL REFLECT THE CONFLICT OF INTEREST THAT WAS DISCLOSED, THE NAME OF THE INTERESTED PERSON, AND FINAL DISCUSSION ON THE CONFLICT OF INTEREST TRANSACTION. FORM 990, PART VI, SECTION B, LINE 15: THE EXECUTIVE DIRECTOR LEFT THE ORGANIZATION IN MARCH 2020. THE ORGANIZATION CONTRACTED WITH AN INTERIM EXECUTIVE DIRECTOR WHO ASSUMED THE ROLE FOR THE REMAINDER OF THE TAX YEAR. THE ORGANIZATION SUBSEQUENTLY HIRED A NEW EXECUTIVE DIRECTOR IN SEPTEMBER 2020. FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990: AL,AR,CA,FL,GA,HI,IL,KS,KY,MD,MA,MI,MN,NH,NJ,NM,NY,NC,ND,OR,PA,RI,SC,TN,UT VA,WV,WI FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION'S FORM 990 AND AUDITED FINANCIAL STATEMENTS ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE, AS WELL AS GUIDESTAR.ORG AND OTHER SIMILAR

FORM 990, PART XII, LINE 2C:

THE BOARD OF TRUSTEES ASSUMES RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT

OF THE FINANCIAL STATEMENTS AND THE SELECTION OF AN INDEPENDENT

TYPES OF WEBSITES.

Schedule O (Form 990 or 9	990-EZ) (20	119)		Page 2
Name of the organization	DRUG	POLICY	ACTION	Employer identification number 52-1951197
ACCOUNTANT.				

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Department of the Treasury Internal Revenue Service

Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

52-1951197

Part I Identification of Disregarded Entities. Comple		T T T T T T T T T T T T T T T T T T T	J.		1		r		
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state of foreign country)	or Total in	•			(f) Direct controlli entity		9
Part II Identification of Related Tax-Exempt Organizations during the tax year.	ations. Complete if the organization	answered "Yes" on Form 990	0, Part IV, line 34	, becaus	e it had one	or more	related tax-exe	mpt	
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Codesection		(e) blic charity s (if section	Direc	(f) ct controlling entity	cont	g) 512(b)(13) rolled tity?
		, ,		5	01(c)(3))			Yes	No
DRUG POLICY ALLIANCE - 52-1516692	_								
131 WEST 33RD STREET, 15TH FLOOR	SUPPORT DRUG POLICY AND								
NEW YORK, NY 10001	LEGAL REFORM	DISTRICT OF COLUMBIA	501(C)(3)	LINE	7	N/A			X
DRUG POLICY ACTION FUND FOR NEW YORK -	_								
27-0605803, 131 WEST 33RD STREET, 15TH	CONTRIBUTIONS TO NYS STATE					DRUG P			
FLOOR, NEW YORK, NY 10001	CANDIDATES	NEW YORK	527	N/A		ACTION		X	
DRUG POLICY ACTION FUND FOR CALIFORNIA -	4								
27-2122766, 131 WEST 33RD STREET, 15TH	CONTRIBUTIONS TO CA STATE		1			DRUG P	OLICY		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

CANDIDATES

COLORADO

SUPPORT BALLOT ISSUES IN

DRUG POLICY ACTION

Schedule R (Form 990) 2019

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FLOOR, NEW YORK, NY 10001

FLOOR, NEW YORK, NY 10001

DRUG POLICY ACTION COLORADO COMMITTEE - 45-2930068, 131 WEST 33RD STREET, 15TH

NEW YORK

NEW YORK

527

527

N/A

N/A

ACTION

DRUG POLICY

Part II Continuation of Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section	(f) Direct controlling entity	conti	g) 512(b)(13) rolled zation?
				501(c)(3))		Yes	No
DRUG POLICY ACTION FUND FOR NEW JERSEY -							
80-0813954, 131 WEST 33RD STREET, 15TH	CONTRIBUTIONS TO NJ STATE				DRUG POLICY		
FLOOR, NEW YORK, NY 10001	CANDIDATES	NEW YORK	527	N/A	ACTION	X	
DRUG POLICY ACTION FUND FOR NEW MEXICO -	CONTRIBUTIONS TO NM STATE						
27-3695156, 131 WEST 33RD STREET, 15TH	CANDIDATES AND BALLOT				DRUG POLICY		
FLOOR, NEW YORK, NY 10001	INITIATIVES	NEW YORK	527	N/A	ACTION	X	
DRUG POLICY REFORM FUND - 26-4322663							
131 WEST 33RD STREET, 15TH FLOOR	CONTRIBUTIONS TO FEDERAL				DRUG POLICY		
NEW YORK, NY 10001	CANDIDATES AND COMMITTEES	NEW YORK	527	N/A	ACTION	Х	
,							
-							
							
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-							
			+	+	+		\vdash
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							L

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Share of total income	Share of end-of-year assets	1	ortionate itions?	amount in box	managin partner	Percentage ownership
		country)		sections 512-514)		466615	Yes	No	K-1 (Form 1065)	Yes N	
			_	1			1		<u> </u>		1

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership		Citity:	
		country						Yes	No	

Page 3

Yes No

b Gift, grant, or capital contribution to related organization(s)

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

c	Gift, grant, or capital contribution from related organization(s)				1c		Х		
q	Loans or loan guarantees to or for related organization(s)				1d		Х		
	Loans or loan guarantees by related organization(s)				1e		X		
·	Louis of four gadrantoes by folded organization(s)				10				
f	Dividends from related organization(s)				1f		Х		
g	Sale of assets to related organization(s)				1g		Х		
h	Purchase of assets from related organization(s)				1h		Х		
i	i Exchange of assets with related organization(s)								
j	j Lease of facilities, equipment, or other assets to related organization(s)								
k	Lease of facilities, equipment, or other assets from related organization(s)				1k	Х			
- 1	Performance of services or membership or fundraising solicitations for related organizations				11		Х		
m Performance of services or membership or fundraising solicitations by related organization(s)									
	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s				1n		Х		
					10	Х			
р	Reimbursement paid to related organization(s) for expenses				1p	Х			
	Reimbursement paid by related organization(s) for expenses				1q		Х		
r	Other transfer of cash or property to related organization(s)				1r		Х		
	Other transfer of cash or property from related organization(s)				1s		Х		
	If the answer to any of the above is "Yes," see the instructions for information on who r								
	(a)	(b)	(c)	(d)					
	Name of related organization	Transaction	Amount involved	Method of determining amount inv	olved				
		type (a-s)							
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
3216	33 09-10-19	40		Schedule	R (For	n 990)	2019		

Page 4

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	Are all partners sec 501(c)(3) orgs.?	(g) Share of end-of-year assets	Dispretion allocat	opor- late tions?	General manage partner	(k) Percentage ownership
	-								000) 0040